

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

09/16/99  
U.S. PTO  
Applicant  
Commissioner of Patents  
Washington, D.C. 20231

WITH SIGNED DECLARATION

NONPROVISIONAL  
NON REISSUE  
NON PCT NAT PHASE

jc518 U.S. PTO  
09/398002  
09/16/99

Herewith is the PATENT APPLICATION of  
Inventor(s): Hiroyuki HIGASHINO

Atty. Dkt.: PM 264042 | T4KN-99S0378  
M# | Client Ref

Title METHOD FOR RECORDING INFORMATION IN OPTICAL  
INFORMATION MEDIUM AND REPRODUCING  
INFORMATION THEREFROM

including:

Date: September 16, 1999

1. Specification: 56 pages (only spec. and claims) 2. ☐ Specification in non-English language  
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 11 numbered claims  
4. ☒ Drawings: 6 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"  
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not  
complete corresponding item 6 or 7). (Prior M# \_\_\_\_\_ SN \_\_\_\_\_ )  
6. **AMEND the specification** please by inserting before the first line: -- This is a ☐ Continuation-in-Part  
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:  
6(a) ☐ National Appln. No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_ (M# \_\_\_\_\_ )  
6(b) ☐ International Appln. No. \_\_\_\_\_ filed \_\_\_\_\_  
7. ☐ **AMEND the specification** by inserting before the first line: -- This application claims the benefit of U.S.  
Provisional Application No. 60/ \_\_\_\_\_ , filed \_\_\_\_\_ . --  
8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.  
9. ☐ Prior application is assigned to \_\_\_\_\_

by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11. \_\_\_\_\_ (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 10-293712	October 15, 1998	(2) 11-257706	September 10, 1999
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		(10)	

12. \_\_\_\_\_ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_

13. ☐ Attached: \_\_\_\_\_ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.
14. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.
16. ☐ Attached:
17. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$760/\$380	\$760	101/201
19. Total Effective Claims	11	minus 20 =	*0	x \$18/\$9 =	+ 0	103/203
20. Independent Claims	3	minus 3 =	*0	x \$78/\$39 =	+ 0	102/202
*If answer is zero or less, enter "0"						
21. If <u>any proper</u> multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a <u>reissue</u> application)				+ \$260/\$130	+ 0	104/204
22.	<b>TOTAL FILING FEE ENCLOSED =</b>				<b>\$760</b>	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 6 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26.	<b>TOTAL FEE ENCLOSED =</b>				<b>\$800</b>	

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# APPLICATION UNDER UNITED STATES PATENT LAWS

Invention: METHOD FOR RECORDING INFORMATION IN OPTICAL  
INFORMATION MEDIUM AND REPRODUCING INFORMATION  
THEREFROM

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This is a:

- ☐ Provisional Application
- ☒ Regular Utility Application
- ☐ Continuing Application
- ☐ PCT National Phase Application
- ☐ Design Application
- ☐ Reissue Application
- ☐ Plant Application
- ☐ Substitute Specification  
Sub. Spec. filed \_\_\_\_\_  
in App. No. \_\_\_\_\_ / \_\_\_\_\_
- ☐ Marked Up Specification re  
Sub. Spec. filed \_\_\_\_\_  
in App. No. \_\_\_\_\_ / \_\_\_\_\_

## SPECIFICATION

TITLE OF THE INVENTION

METHOD FOR RECORDING INFORMATION IN OPTICAL INFORMATION  
MEDIUM AND REPRODUCING INFORMATION THEREFROM

BACKGROUND OF THE INVENTION

5           The present invention relates to an apparatus and  
method for recording information in a recordable  
optical information medium in which information can be  
additionally written only once and for reproducing  
information from the optical information medium.

10           When information are recorded or reproduced from  
an optical information medium, a recording beam, a  
tracking beam, a focusing beam and a reproduction beam  
are utilized. These optical beams are emitted from the  
same light source.

15           Among conventional optical recording/reproducing  
apparatuses, there is known an apparatus that can  
record and reproduce information with reference to  
various types of optical information mediums. To  
enable this, the apparatus is provided with a number of  
20   light sources for emitting optical beams of different  
wavelengths. The apparatus selects an optical beam  
that has the wavelength corresponding to the type of  
optical information medium in use, and uses the  
selected optical beam for the recording and  
25   reproduction of information. Even when this type of  
apparatus records and reproduces information with  
reference to an optical information medium, the optical

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beams used by the apparatus are from the same light source.

In recent years, the information-oriented society makes further progress, and the information processing apparatuses that are in general use can process  
5 information far faster than before. In accordance with this trend, there is a demand for recording/reproducing apparatuses that can record and reproduce information at far higher speeds. However, in the case of an  
10 optical recording/reproducing apparatus which is of a write-once type, the recording speed of the recording unit is slower than that the reproduction speed of the reproducing unit. As can be seen from this, the recording speed is generally lower than the  
15 reproduction speed in the present circumstances.

When information are recorded in a recordable optical information medium, the optical beam used for recording is of high energy, and the recording layer of the recording medium corresponds to such a wavelength  
20 as provides a great light absorption coefficient. Accordingly, recording pits can be formed with a low level of outputs. The reason for this will be described in more detail.

Let us assume that the moving speed of a recording  
25 head is  $V$  (m/s), the width of the recording light beam is  $D$  (m), the irradiation time of the recording light beam is  $\Delta T$  (s), the output of the recording light beam

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is  $P$  (J/s), and the absorption coefficient of the recording layer of a recording beam is  $A$ . In this case, the amount of energy absorbed during the irradiation time  $\Delta T$  (s) is represented as  $P \times A \times \Delta T$ , and the area in which the energy is absorbed during the irradiation time  $\Delta T$  is represented as  $V \times D \times \Delta T$ . Accordingly, the average surface density  $W$  (J/m<sup>2</sup>) of the energy absorbed in area  $S$  is as follows:

$$\begin{aligned} & (P \times A \times \Delta T) / (V \times D \times \Delta T) \\ & = (P \times A) / (V \times D) \end{aligned}$$

Given that the value of  $D$  is constant, the average surface density of the energy absorbed in the recording layer is in proportion to the absorption coefficient and in inverse proportion to the moving speed of the recording head.

As can be seen from the above, higher recording density can be obtained by increasing the output of the recording optical beam or by applying a recording optical beam having such a waveform as provides high absorption coefficient.

When information are reproduced from a recordable optical information medium, the optical beam radiated to the medium must have such a wavelength as provides a high contrast between the beam reflected from the recording pits of the recording layer of the medium and the beam reflected from the areas other than the recording pits of the recording layer. The higher the

contrast the optical beam provides, the higher will be the S/N ratio (signal-to-noise ratio) of a reproduction signal. The factors that should be considered to obtain a high contrast include the reflection factor of an optical beam, the polarization angle thereof, etc.

In general, optical beams of the same wavelength do not satisfy both the requirements of a recording beam and those of a reproducing beam.

Among commercially-available recordable mediums, there is a medium whose recording layer is made up of a transparent support member arranged on the light-incident side, a metallic reflecting film, and a recording layer located between the support member and the metallic reflecting member and containing an organic pigment. When a light beam is radiated onto the recording layer, the energy the light beam has when it has passed the support member thermally changes the nature of the organic pigment. Since recording pits are thereby formed, information can be written only once. As shown in FIG. 2, the recording layer of this type of recording medium shows greatly different reflection factors between the case where a light beam having a wavelength close to that of near infra-ray light is incident on the recording pits and the case where the same light beam is incident on the areas other than the recording pits. When information are reproduced from this write-once recordable medium, a

If a near infra-red light of the same wavelength as the reproducing beam described above is used for recording information in the unrecorded areas of the above recordable information medium, the absorption coefficient of the light beam is as low as 20% or so, as indicated by curve b in FIG. 3 (100% - [reflection factor] - [transmittance]) (the transmittance can be

If a near infra-red light of the same wavelength as the reproducing beam described above is used for recording information in the unrecorded areas of the above recordable information medium, the absorption coefficient of the light beam is as low as 20% or so, as indicated by curve b in FIG. 3 (100% - [reflection factor] - [transmittance]) (the transmittance can be



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To solve this problem, Jpn. Pat. Appln. KOKAI Publication No. 2-187937 discloses a technique wherein information is recorded in a recording layer by using a recording beam whose wavelength provides the recording layer with a high optical absorbing coefficient and wherein the recorded information is reproduced from the recording layer by using a reproducing beam whose wavelength provides the recording layer with a low optical absorbing coefficient.

Even when the recording/reproducing method

disclosed in KOKAI Publication No. 2-187937 is used,  
however, the wavelengths of optical beams must be  
different between the recording mode and the  
reproducing mode. In other words, a plurality of light  
5 sources must be provided, and one of them must be  
selectively used between the recording mode and the  
reproducing mode.

The recording/reproducing method disclosed in  
KOKAI Publication No. 2-187937 (i.e., the method  
10 wherein signals are recorded in a recording layer by  
using a recording beam whose wavelength provides the  
recording layer with a high optical absorbing  
coefficient and wherein the recorded signals are  
reproduced from the recording layer by using a  
15 reproducing beam whose wavelength provides the  
recording layer with a low optical absorbing  
coefficient) ensures a high S/N ratio of the reproduced  
signals and yet enables information to be recorded at  
higher speed. Even this method, however, is restricted  
20 by the energy of a maximum output of a light source.  
In the case where an information medium comprises an a  
recording layer having such a characteristic as is  
shown in FIG. 2, and information are recorded in the  
unrecorded areas of that recording layer, an optical  
25 beam that provides a high optical absorbing coefficient  
does not necessarily improve the recording speed.

As illustrated in FIG. 2, even where the absorbing

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coefficient of near infra-red light having a wavelength  
of 800 nm or so is about 20% for unrecorded areas, this  
absorbing coefficient increases to about 70% after  
information are actually recorded since the nature of  
5 the organic pigment is changed by the information  
recording. This being so, in the case where a  
recording beam having a wavelength that provides a low  
absorbing coefficient is radiated onto an unrecorded  
area, the absorbing coefficient of the recording layer  
10 is low immediately after the irradiation of the  
recording beam. In other words, the efficiency with  
which the energy is used is low at the start of the  
irradiation of the recording beam. As more and more  
recording pits are formed, however, the absorbing  
15 coefficient increases, and the efficiency with which  
the energy is used gradually increases. However, in  
the case where a recording beam having a wavelength  
that provides an absorbing coefficient of 50% or so is  
radiated onto the same unrecorded area, the absorbing  
20 coefficient does not increase. Hence, the recording  
speed is not improved.

Although a semiconductor laser is generally  
employed as a light source of an optical information  
recording/reproducing apparatus, the wavelength of the  
25 optical beam emitted thereby varies in accordance with  
the ambient temperature. If information are recorded  
by use of an optical beam having such a wavelength as

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results in a great change in the absorbing coefficient of the recording layer of the information medium, it is inevitable that the recording sensitivity will change greatly. To be more specific, the temperature of the semiconductor laser element changes due to variations in the ambient temperature, and the wavelength of the optical beam emitted by the semiconductor laser element inevitably changes. Since, therefore, the absorbing coefficient of the recording layer of the optical information medium greatly changes, the recording sensitivity is greatly changed, resulting in marked fluctuations at the time of information reproduction.

As described above, the laser element for emitting an optical beam having a waveform suitable for recording and the laser element for emitting an optical beam having a waveform suitable for reproducing are provided independently of each other. Accordingly, the adjustment needed for positioning the laser elements is hard to make and is thus costly.

It is known in the art that the optical beams emitted from the laser elements used for recording and reproduction cannot be focused on the same position without properly adjusting the relative position between the two laser elements (light sources) or the ratio between the focal distances of the collimator lens and the object lens.

To improve the recording density, the diameter  $\omega_0$

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of the beam spot which a recording optical beam forms on the recording surface (i.e., the diameter of zero-order light) must be as small as possible. In general, the diameter  $\omega_0$  is determined as follows:

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$$\omega_0 = 0.32 \lambda / \sin \theta$$

where  $\theta$  is the output angle of an optical beam output or emerging from the object lens. Let us assume that  $\theta$  is  $30^\circ$  because this output angle is common. In this case,  $\sin \theta$  is 0.5, so that the diameter  $\omega_0$  is nearly  
10 equal to  $0.6 \lambda$ . Even if  $\theta$  is  $90^\circ$ ,  $\sin \theta$  is 1, so that the diameter  $\omega_0$  is equal to  $0.32 \lambda$ . In connection with this point, please refer to Formula (1-20) appearing on page 26 of "Optical Disk Technology", (Kabushiki  
Kaisha) Radio Gijutsu Sha, February 10, 1989.

15 BRIEF SUMMARY OF THE INVENTION

It is accordingly an object of the present invention to provide an optical head apparatus which is adapted for use with an optical information medium ensuring a high recording speed and enabling stable  
20 reproduction of recorded signals, and which is used for recording/reproducing operations.

It is another object of the present invention to provide a technique which is applicable to an exposure system for emitting two optical beams and which causes  
25 the exposure system to project the two optical beams accurately on the same position to thereby enhance the energy efficiency and improve the recording speed.

5           To achieve the objects, the present invention  
provides an optical information recording/reproducing  
apparatus comprising a plurality of light sources, one  
of which emits an optical beam having such a wavelength  
as enables a larger amount of energy to be absorbed or  
10 reflected by recorded areas of a recording layer of an  
optical information medium than an amount of energy  
absorbed or reflected by non-recorded areas, said  
plurality of light sources emitting optical beams  
simultaneously to record information in an information  
15 recording mode.

a plurality of light sources; and

the optical system including an object lens having a focal distance of  $F_1$  and a collimator lens having a focal distance of  $F_2$ ,  $F_2/F_1$  being within a range of 4 to 10.

The present invention further provides an  
information recording/reproducing apparatus comprising:

a first light source for emitting an optical beam  
of a first wavelength;

a second light source for emitting an optical beam  
of a second wavelength different from the first  
5 wavelength;

an optical system for guiding the optical beams  
from the first and second light sources along  
substantially one optical path, the optical system  
including a prism unit for synthesizing the optical  
10 beams from the first and second light sources together;

a detector for performing photoelectric conversion  
with respect to optical beams that are reflected by an  
optical information medium and guided to the detector  
by way of the object lens; and

15 a beam diameter varying device, arranged between  
the first and second light sources and the prism unit,  
for varying a beam spot diameter of an optical beam  
emitted from one of the first and second light sources.

Additional objects and advantages of the invention  
20 will be set forth in the description which follows, and  
in part will be obvious from the description, or may be  
learned by practice of the invention. The objects and  
advantages of the invention may be realized and  
obtained by means of the instrumentalities and  
25 combinations particularly pointed out hereinafter.

#### BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING

The accompanying drawings, which are incorporated

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FIG. 5 is a schematic illustration showing a modification of the optical head apparatus shown in FIG. 1.



FIG. 6B is a schematic illustration showing how  
5 energy is distributed in a beam spot which is provided  
by the apodization plate depicted in FIG. 6A.

FIG. 8A shows how a beam which is made to pass through the apodization plate depicted in FIG. 6A and a beam which is not made to pass through the same apodization plate form spots on a track of an optical information medium.

20            FIG. 9 is a schematic illustration showing a rotatable prism which provides a lower degree of optical loss than that of the apodization plate depicted in FIG. 6A.

FIG. 11 is a schematic illustration showing a

method in which to synthesize two laser beams together with a high degree of accuracy in the optical head apparatus shown in FIG. 1 is employed.

FIG. 12 is a schematic illustration of an example of an optical head apparatus that employs a laser unit in which two laser chips are integrally incorporated.

#### DETAILED DESCRIPTION OF THE INVENTION

An optical head apparatus (i.e., an optical information recording/reproducing apparatus), which is one embodiment of the present invention and which records information in an optical information medium and reproduces information therefrom, will now be described with reference to the accompanying drawings, along with an information recording/reproducing method used in that apparatus.

As shown in FIG. 1, an optical head apparatus 1 comprises a first semiconductor element 11 for emitting a laser beam (optical beam) L1 having a first wavelength and a second semiconductor element 21 for emitting a laser beam (optical beam) L2 having a second wavelength.

The semiconductor laser elements 11 and 12 are arranged in such a manner that the polarization directions of the laser beams L1 and L2 emitted from them are at right angles to each other.

The laser beam L1 emitted from the first semiconductor laser element 11 is first collimated by a first collimator lens 12 and then passes through the

polarized beam-splitting face 31a of a polarized beam splitter 31. Subsequently, the laser beam L1 is incident on a  $\lambda/4$  plate (retarder) 32, by which the direction of polarization is changed from linear  
5 polarization to circular polarization. Thereafter, the laser beam L1 is guided to an object lens 33.

By the object lens 33, the laser beam L1 is focused on the recording layer (not shown) of an optical disk (i.e., an optical information medium).  
10 The recording layer is a pigment layer interposed between a transparent support member and a reflecting film. The object lens 33 is an achromatic lens, which is achromatic with reference to both the wavelength of the laser beam L1 emitted from the first laser element  
15 11 and the wavelength of the laser beam L2 emitted from the second laser element 21.

The laser beam L1 focused on the recording layer of the optical disk D is reflected by the reflecting film and returned to the object lens 33. Then, the  
20 laser beam L1 is incident on the  $\lambda/4$  plate 32 once again, by which the direction of polarization is changed from circular polarization to linear polarization. The direction of linear polarization is  $90^\circ$  shifted from that of the laser beam L1 that is  
25 directed to the optical disk D. Thereafter, the laser beam L1 is returned to the polarized beam splitter 31.

The laser beam L1 returned to the polarized beam

splitter 31 is reflected by the polarized beam-  
splitting face 31a and then passes through a  
half-mirror beam splitter 34, the transmittance of  
which is about 20%. The laser beam L1 is focused by a  
5 focusing lens 35 and is then incident on the light  
receiving face (not shown) of a photodetector 36.

On the other hand, the laser beam L2 emitted from  
the second semiconductor laser element 21 is first  
collimated by a second collimator lens 22 and is then  
10 incident on the half-mirror beam splitter 34. About  
80% of the laser beam L2 (the percentage being  
determined in terms of the optical intensity) is  
reflected by the half-mirror beam splitter 34 and  
guided to the polarized beam splitter 31. (It is  
15 desirable that at least three fourths of the laser beam  
be reflected by the half-mirror beam splitter 34.

The laser beam L2 from the second semiconductor  
laser element 21 is reflected again by the polarized  
beam-splitting face 31a of a polarized beam splitter 31.  
20 (The laser beam L2 is reflected since its direction of  
polarization is  $90^\circ$  shifted from that of the laser beam  
L1 emitted from the first semiconductor laser element  
11.) The reflected laser beam is incident on the  $\lambda/4$   
plate (retarder) 32, by which the direction of  
25 polarization is changed from linear polarization to  
circular polarization. Thereafter, the laser beam L2  
is guided to the object lens 33.

By the object lens 33, the laser beam L2 from the second semiconductor laser element 21 is focused on the recording layer (not shown) of the optical disk D.

(The recording layer is a pigment layer interposed  
5 between the transparent support member and the reflecting film.)

The laser beam L2 emitted from the second semiconductor laser element 21 and focused on the recording layer of the optical disk D is reflected by  
10 the reflecting film and returned to the object lens 33.

Then, the laser beam L1 is incident on the  $\lambda/4$  plate 32 once again, by which the direction of polarization is changed from circular polarization to linear polarization. The direction of linear polarization is

15  $90^\circ$  shifted from that of the laser beam L2 that is directed to the optical disk D. Thereafter, the laser beam L2 is returned to the polarized beam splitter 31.

The laser beam L2 passes through the polarized beam splitter 31 and output therefrom toward the first laser  
20 element 11. In order to prevent the laser beam L2 from falling on a photodetector of the first laser element 11 (the photodetector being incorporated for auto-power control (APC)), the laser beam L2 is changed in its traveling direction by an optical path-changing member.

25 Alternatively, the laser beam L2 is shielded by a shielding member.

The optical head apparatus shown in FIG. 1 is an

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example made up of collimator lenses 12 and 22, a  $\lambda/4$  plate 32, and a polarized beam splitter 31. The optical head apparatus may be designed in a similar way without employing the  $\lambda/4$  plate 32 or the polarized beam splitter 31.

To be more specific, optical head apparatuses adapted for most desired applications can be assembled by adding the second laser element 21 and the half-mirror beam splitter 34 (i.e., the structural elements enclosed by broken lines 40 in FIG. 1) to an ordinary optical head apparatus comprising a known semiconductor laser.

As described above with reference to FIGS. 2 and 3, a write-once recordable information medium differs distinctly from other types of information mediums in that the laser beam suitable for the recording of information and the laser beam suitable for the reproduction of information are different in wavelength.

In the optical head apparatus shown in FIG. 1, the speed for recording information can be increased by properly combining the wavelengths of the laser beams L1 and L2 emitted from the first and second laser elements 11 and 21. For example, the wavelength of the laser beam L2 emitted from the second laser element 21 may be determined in such a manner that the amount of energy absorbed in the recording layer of an information medium becomes largest.

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A further specific technique about this wavelength determination can be understood from the energy absorbing characteristic of an optical information medium described above with reference to FIG. 3. As described, even where the absorbing coefficient of near infra-red light having a wavelength of 800 nm or so is about 20% (curve b) for unrecorded areas, this absorbing coefficient increases to about 70% (curve b in FIG. 2) after information are actually recorded, since the nature of the pigment film is changed by the information recording. This being so, in the case where a recording beam having a wavelength that provides a low absorbing coefficient is radiated onto an unrecorded area, the absorbing coefficient of the recording layer is low immediately after the irradiation of the recording beam. In other words, the efficiency with which the energy is used is low at the start of the irradiation of the recording beam. As more and more recording pits are formed, however, the absorbing coefficient increases, and the efficiency with which the energy is used gradually increases, resulting in an increase in the information recording speed. The laser beam L2 emitted from the second laser element 21 then is controlled in the manner shown in FIG. 4A. As shown in this Figure, the laser beam L2 is high in level at the start of information recording, and is then lowered to a predetermined level after a

predetermined length of time. With the light emission controlled in this manner, the recording pits formed in the recording medium do not increase in width with time.

The optical information medium used in the present invention may be a recordable information medium commercially available. To be more specific, the optical information medium to which the present invention is applicable is of a type comprising a spiral groove (guide groove). The width of the groove is 0.8  $\mu\text{m}$  or so, the depth thereof is 0.1  $\mu\text{m}$  or so, and the pitch thereof is 1.6  $\mu\text{m}$  or so. The optical information is made up of a transparent plastic plate, a recording layer, and a protective layer. The plastic plate has a diameter of 120 mm and a thickness of 1.2 mm. The recording layer includes an organic pigment film with a thickness of 0.1  $\mu\text{m}$  or so, and a reflecting film made of Au and having a thickness of 50 nm or so. The protective layer is formed, for example, of a resin that sets upon ultraviolet radiation. In short, the optical information medium is a known type of optical disk generally referred to as CD-R.

The organic pigment film of the CD-R described above is formed of an organic material, such as a cyanine material, a phthalocyanine material, or an azo-group material. By using a material of these kinds, a high contrast is produced between the recording areas



(i.e., recording pits, which will be described in detail later) and the unrecorded areas (i.e., the areas other than the recording pits) when a reproduction laser beam having a wavelength of that of near infra-red light is radiated to the areas.

A detailed description will now be given as to how information are written in a CD-R and how they are reproduced from the CD-R. The methods described below is advantageous in that the energy of a laser beam can be efficiently absorbed in a recording layer at the time of recording without adversely affecting the S/N ratio of reproduced signals, and the recording speed is consequently improved.

[Method 1]

In the optical head apparatus shown in FIG. 1, the emission wavelengths of the first and second semiconductor laser elements 11 and 12 are determined to be 780 nm.

By use of a known type of control circuit (not shown), recording signals are supplied to the two semiconductor laser elements 11 and 12 in parallel to each other, and the semiconductor laser elements are driven simultaneously.

This does not necessarily mean that the amount of energy applied to the recording layer is double. In comparison with the case where only one of the laser elements is driven, the amount of energy applied to the

recording layer can be regarded as being large. It should be noted that the amount of reflected light guided to the photodetector 36 is smaller than the corresponding amount of a known-type of optical head apparatus. It is therefore preferable that the amplification factor of an amplifier (not shown) be set at a large value.

In a known-type of optical head apparatus, the amount of energy that can be used from a laser beam spot focused on an optical information medium is about 1/4 of the total energy which the laser beam has when it is emitted from the laser element. In addition, the allowable radiation capacity of an ordinary type of semiconductor laser that emits a laser beam having a wavelength of 780 nm is 50 mW or so in the case of intermittent radiation. Therefore, the maximum of energy radiated to the recording layer is 12 mW or so.

Based on this condition, the linear velocity (rotating speed) of the CD-R was increased from 1 m/sec to 20 m/sec, and the length and interval of recording pulses were varied in accordance with the linear velocity. In this state, laser beams, the total amount of which was 20 mW, were radiated to the CD-R from the two semiconductor laser elements. With respect to this sample, the amount of reflected light was monitored by tracing a 0.1 mW beam along recorded track. As a result, it was confirmed that reliably modulated

signals were obtained up to a linear velocity of  
20 m/sec.

For comparison, one of the two laser elements was  
stopped, and a similar sample was made by radiating a  
5 laser beam in an amount of 12 mW. With respect to this  
sample, the amount of reflected light was monitored by  
tracing a 0.1 mW beam along recorded track. As a  
result, it was confirmed that reliably modulated  
signals were obtained up to a linear velocity of  
10 7 m/sec.

Hence, it was confirmed that the simultaneous  
radiation of laser beams from two semiconductor laser  
elements of the same emission wavelength provides a  
faster recording speed than the conventional recording  
15 apparatus and method that use a single laser element.  
[Method 2]

In the optical head apparatus 1 shown in FIG. 1, a  
semiconductor laser element capable of emitting a laser  
beam having a wavelength of 780 nm is used as one of  
20 the two semiconductor laser elements, and a semi-  
conductor laser element capable of emitting a laser  
beam having a wavelength of 730 nm is used as the other.  
The collimator lenses 12 and 22 for collimating the  
laser beams L1 and L2 from the laser elements also  
25 function as achromatic lenses. In other words, the  
collimator lenses 12 and 22 are achromatic with  
reference to the wavelengths of the corresponding laser

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beams L1 and L2 and the wavelengths which are close to them. Such collimator lenses help suppress the adverse effects which the laser beam passing through the polarized beam splitter 31 may produce when it is incident on the unrelated laser element.

The laser beam having a wavelength of 780 nm is radiated at all times without fluctuations, and the laser beam radiated in this manner is used for both tracking and focusing in a known manner. The laser beam having a wavelength of 730 nm is radiated intermittently. The radiation of the laser beams emitted from the laser elements (i.e., the light emission timing) is controlled in the manner shown in FIG. 4B. As shown in this Figure, the output level of the laser beams is high at the start of recording, and is lowered after the elapse of a predetermined time. With the light emission controlled in this manner, the recording pits formed in the recording medium do not increase in width with time. As is apparent from FIG. 4B, the laser beam of 730 nm is not radiated at a constant level at all times. In other words, the output level of the laser beam varies within a predetermined range.

When the laser beam having a wavelength of 730 nm is radiated at the time of recording, the laser beam having 780 nm is also radiated. Accordingly, the two laser beams from the laser elements constitute a

recording beam. At the time of reproduction, only the laser beam having a wavelength of 780 nm is radiated without intermittence.

According to Method 2, the laser beam having a wavelength of 780 nm and the laser beam having a wavelength of 730 nm can be used for recording simultaneously. As described above, the absorbing coefficient of a laser beam having a wavelength of 780 nm is about 20% for unrecorded areas, this absorbing coefficient increases to about 60% after information are actually recorded. In this manner, the energy absorption coefficient is improved in accordance with an increase in the number of pits that are formed. Accordingly, even a laser beam having such a wavelength as does not provide a large absorption coefficient of the recording layer at the time of recording can improve the recording efficiency, and the recording speed can be enhanced.

Based on this condition, one of the light sources was controlled to emit a laser beam having a wavelength of 780 nm without intermittence in an amount of 2 mW. The other light source was controlled to emit a laser beam having a wavelength of 730 nm intermittently in an amount of 10 mW. The linear velocity of the CD-R was increased from 1 m/sec to 20 m/sec, and the length and interval of recording pulses were varied in accordance with the linear velocity. (A laser beam having a

wavelength of 730 and amounting to 0.3 mW was used for tracking and focusing when recording was not effected.) With respect to the sample thus obtained, the amount of reflected light was monitored by tracing a 0.1 mW beam of 780 nm along recorded track. As a result, it was confirmed that reliably modulated signals were obtained up to a linear velocity of 15 m/sec.

For comparison, another sample was prepared by using the laser beam having a wavelength of 730 nm. When making the second sample, the linear velocity was increased from 1 m/sec to 20 m/sec, and the length and interval of recording pulses were varied in accordance with the linear velocity. The laser beam for recording was radiated intermittently in an amount of 10 mW. (A laser beam having a wavelength of 730 and amounting to 0.3 mW was used for tracking and focusing when recording was not effected.) With respect to the second sample thus obtained, the amount of reflected light was monitored by tracing a laser beam having a laser beam 780 nm along recorded track. As a result, it was confirmed that reliably modulated signals were obtained up to a linear velocity of 10 m/sec.

As can be seen from the description given with reference to Method 2, a laser beam that provides a small absorption coefficient for unrecorded areas must be used at the time of reproduction. In other words, the wavelength of a laser beam for reproduction must be



head apparatus capable of coping with a variation which the wavelength of a laser beam emitted from a semiconductor laser element may have due to the changes in ambient temperature.

5           In the consideration given below, reference will be made to the case where information are recorded in an optical information medium having the absorption/reflection characteristics described above with reference to FIGS. 2 and 3. For the recording of  
10 information, the optical head apparatus shown in FIG. 1 is employed, wherein the first and second semiconductor laser elements emit laser beams whose wavelengths are 780 nm and 720 nm, respectively.

15           In the case where the recording layer of a recording medium has such absorption/reflection characteristics as shown in FIGS. 2 and 3, the absorption coefficient is about 23% with respect to the laser beam emitted from the first laser element and having a wavelength of 780 nm. If the wavelength of  
20 this laser beam deviates  $\pm 10$  nm from 780 nm, the absorption coefficient changes to 17-36%. Assuming that the original absorption coefficient of 23% is 100, the absorption coefficient after the change is 74% to 147% (the range rate of the absorption coefficient is  
25 -26% to +47%). On the other hand, the absorption coefficient is about 64% (which indicates high energy efficiency) with respect to the laser beam emitted from

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length of a recording pit and the length of an  
unrecorded area are detected as signals. If the  
recording sensitivity changes, the recording pits and  
unrecorded areas will have different lengths, resulting  
5 in an increase in the fluctuations.

If the degree of fluctuation is higher than an  
allowable value, accurate reproduction will not be  
possible. Since, therefore, the recording pits must be  
controlled to have optimal size, there is a demand for  
10 a recording method that enables recording pits to be  
accurately formed without reference to changes in the  
wavelength of a laser beam by merely executing output  
control of that laser beam.

Such a method can be easily attained by use of the  
15 technology described above in relation to Method 2. As  
described, the technology uses two semiconductor laser  
elements which emit laser beams of different wave-  
lengths, the emission wavelength of one of which being  
controlled to be within  $\pm 10$  nm of the wavelength that  
20 provides a maximal absorption coefficient for a  
recording medium, and the emission wavelength of the  
other laser element being controlled to be most  
suitable for reproducing information from the recording  
medium. The change rate of the absorption coefficient  
25 of the recording medium should be preferably within the  
range of  $\pm 5\%$ , and the output wavelengths of the laser  
elements are controlled, accordingly.

FIG. 5 is a schematic illustration showing a modification of the optical head apparatus shown in FIG. 1. In FIG. 5, those structural elements similar to those shown in FIG. 1 are denoted by the same reference numerals, and a detailed description of such structural elements will be omitted.

As shown in FIG. 5, an optical head apparatus 101 comprises a first semiconductor element 11 for emitting a laser beam (optical beam) L1 having a first wavelength and a second semiconductor element 21 for emitting a laser beam (optical beam) L2 having a second wavelength.

The semiconductor laser elements 11 and 12 are arranged in such a manner that the polarization directions of the laser beams L1 and L2 emitted from them are at right angles to each other.

The laser beam L1 emitted from the first semiconductor laser element 11 is first collimated by a first collimator lens 12 and then passes through the polarized beam-splitting face 31a of the polarized beam splitter 31. Subsequently, the laser beam L1 is incident on a  $\lambda/4$  plate (retarder) 32, by which the direction of polarization is changed from linear polarization to circular polarization. Thereafter, the laser beam L1 is guided to an object lens 33.

By the object lens 33, the laser beam L1 is focused on the recording layer (not shown) of an



viewed in a cross section, is shielded at a predetermined rate. In other words, the cross sectional diameter of the collimated beam is reduced to a small value in comparison to that of an ordinary laser beam. (The cross sectional diameter is defined as energy intensity.) After the diameter defined as energy intensity is reduced in this manner, the laser beam L2 is incident on the half-mirror beam splitter 34. About 80% of the laser beam L2 (the percentage being determined in terms of the optical intensity) is reflected by the half-mirror beam splitter 34 and guided to the polarized beam splitter 31. (It is desirable that at least three fourths of the laser beam be reflected by the half-mirror beam splitter 34.)

The laser beam L2 from the second semiconductor laser element 21 is reflected again by the polarized beam-splitting face 31a of the polarized beam splitter 31. (The laser beam L2 is reflected since its direction of polarization is  $90^\circ$  shifted from that of the laser beam L1 emitted from the first semiconductor laser element 11.) The reflected laser beam is incident on the  $\lambda/4$  plate 32, by which the direction of polarization is changed from linear polarization to circular polarization. Thereafter, the laser beam L2 is guided to the object lens 33.

By the object lens 33, the laser beam L2 from the second semiconductor laser element 21 is focused on the

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recording layer (not shown) of the optical disk D.  
(The recording layer is a pigment layer interposed  
between the transparent support member and the  
reflecting film.)

5           The laser beam L2 emitted from the second  
semiconductor laser element 21 and focused on the  
recording layer of the optical disk D is reflected by  
the reflecting film and returned to the object lens 33.  
Then, the laser beam L1 is incident on the  $\lambda/4$  plate 32  
10       once again, by which the direction of polarization  
is changed from circular polarization to linear  
polarization. The direction of linear polarization is  
90° shifted from that of the laser beam L2 that is  
directed to the optical disk D. Thereafter, the laser  
15       beam L2 is returned to the polarized beam splitter 31.

FIG. 6A is a schematic illustration showing an  
apodization plate incorporated in the optical head  
apparatus shown in FIG. 5.

FIG. 6A shows a section the apodization plate 111  
20       has in the direction perpendicular to the optical axis.  
As shown in FIG. 6A, the central portion of the  
apodization plate 111 is made of a thin metal film for  
shielding light. The ratio of the thin metal film  
portion to the entire plate 111 is predetermined.  
25       FIG. 6B shows how the energy distribution of a laser  
beam is after the laser beam passes through the plate  
111. As shown in FIG. 6B, the primary-order optical

ring is larger than that of an ordinary laser beam, but the diameter of the zero-order optical ring is reduced. The zero-order optical ring reduced in diameter is suitable for use as a recording beam. The laser beam L1 emitted from the first laser element 11 is suitable for use as a tracking laser beam since the diameter of the entire cross section is smaller than the diameter of the laser beam L2 that is made to pass through the apodization plate 111. It should be noted that the apodization plate 111 need not be arranged at such a position as shown in FIG. 5; it can be arranged at any desirable position as long as the function required of it is attained. As shown in FIG. 7, a complex lens comprising a collimator lens and an apodization plate may be used.

The optical head apparatus 101 shown in FIG. 5 employs a spot moving mechanism (not shown). By means of this mechanism, the beam spots of the first and second laser beams L1 and L2 are located at their respective predetermined positions on the recording surface of the recording medium D. With tracking control being executed by use of the first laser beam L1 (which is suitable for tracking), information are recorded in the recording surface of the recording medium D by use of the second laser beam L2 which is made to pass through the apodization plate 111. In order for the two beam spots to be formed on the same

track of the recording surface at predetermined intervals (with a spacing of 10  $\mu\text{m}$ , for example), the first laser element 11, the second laser element 21, the polarized beam splitter 31 and the half-mirror beam splitter 34 are arranged. By adequately arranging these structural elements, the optical system enables a recording beam to reach the track after a tracking beam. Owing to this feature, it is possible to form very tiny pits. Even when information are recorded, with the pits formed at very short intervals, the information reproduced from such pits hardly deteriorate in quality. This feature is effective in enhancing the recording density. By properly arranging the above-mentioned structural elements, the optical system enables the beam spots of the two laser beams to overlap with each other. In this case, the pits will be somewhat large, but they are nonetheless smaller than pits formed by light beams that do not pass through the apodization plate 111. At the time of recording, the powers of the two laser beams can be synthesized together, and the recording speed is therefore enhanced.

A description will now be given of a manner in which the optical head apparatus shown in FIG. 5 operates.

FIG. 8A shows a case where the first and second beam spots A and B emitted from the first and second laser elements 11 and 21, respectively, are radiated to



the track with a predetermined spacing (e.g., 10  $\mu\text{m}$ ).  
In this case, the emission wavelengths of the first and  
second laser elements 11 and 21 are set at 660 nm.

FIG. 8B shows a case where the first and second  
5 beam spots A and B are radiated to the same position  
and overlap with each other. In this case, the zero-  
order optical ring, whose energy is concentrated by the  
apodization plate 111, is located inside the beam spot  
formed by an ordinary beam, thereby forming an  
10 optically-intensive area C. The primary-order optical  
ring, which is produced by the apodization plate 111  
and is optically weak, is radiated to the areas outside  
the beam spot of the ordinary beam.

The power control for the two laser beams can be  
15 performed in one of the following four ways:

(1) The power of the laser beam subjected to  
apodization is used for recording and is modulated in  
intensity in accordance with recording signals, and the  
power of the ordinary beam is used for reproducing;

20 (2) The power of the laser beam subjected to  
apodization is used for recording and is modulated in  
intensity in accordance with recording signals, and the  
power of the ordinary beam is used as intermediate  
power (which is more intense than the power of a beam  
25 for reproducing, but is not insufficient for  
recording);

(3) The power of the laser beam subjected to

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apodization is sufficient for recording and is modulated in intensity in accordance with information to be recorded. Likewise, the power of the ordinary beam is used for recording and is modulated in intensity in accordance with information to be recorded. Further, a beam whose power is predetermined and intermediate between the power of the recording beam and the power of the ordinary beam used for recording, is used as a read beam (the power of which cannot be used for recording); and

(4) The power of the laser beam subjected to apodization beam is determined at intermediate level in such a manner that information cannot be recorded without simultaneous radiation of them. Likewise, the power of the ordinary beam is used for recording and is modulated in intensity in accordance with information to be recorded. And the power of the ordinary beam is intermediate between the powers of the two recording beams (one of which is the ordinary beam that is modulated in intensity in accordance with information to be recorded) is used as a read beam, and the power of this read beam is predetermined to be insufficient for the recording of information.

In the case where the information recording medium is a rewritable type, the use of two beams is advantageous in that recorded information can be erased from the information medium by use of one beam, and new

information can be written therein by use of the other beam. In comparison with the conventional art wherein a single beam is utilized, the power control system can be as simple as possible. (In the case where a single  
5 beam is utilized, three-stage power control is required.)

FIG. 9 is a schematic illustration showing an optical element which can be used in place of the apodization plate described above.

10 As can be seen from FIG. 9, if a rotatable prism 115 whose section is either a parallelogram or a rectangle is used, a beam spot capable of generating apodization can be formed without reducing the amount of light. (In the case where the apodization plate 111  
15 is used, the energy corresponding to the shielded laser beam component is inevitably lost.)

FIG. 10 is a schematic illustration showing a modification of the optical head apparatus depicted in FIG. 5. In FIG. 10, those structural elements similar  
20 to those shown in FIG. 5 are denoted by the same reference numerals, and a detailed description of such structural elements will be omitted.

As shown in FIG. 10, an optical head apparatus 201 comprises a first semiconductor element 11 for emitting  
25 a laser beam (optical beam) L1 having a wavelength of 660 nm and a second semiconductor element 21 for emitting a laser beam (optical beam) L2 having a

wavelength of 630 nm.

5       The semiconductor laser elements 11 and 12 are arranged in such a manner that the polarization directions of the laser beams L1 and L2 emitted from them are at right angles to each other.

10       The laser beam L1 emitted from the first semiconductor laser element 11 is first collimated by a first collimator lens 12 and then passes through the polarized beam-splitting face 31a of the polarized beam splitter 31. Subsequently, the laser beam L1 is incident on a  $\lambda/4$  plate (retarder) 32, by which the direction of polarization is changed from linear polarization to circular polarization. Thereafter, the laser beam L1 is guided to an object lens 33.

15       By the object lens 33, the laser beam L1 is focused on the recording layer (not shown) of an optical disk (i.e., an optical information medium). The recording layer is a pigment layer interposed between a transparent support member and a reflecting film.

20

25       The laser beam L1 focused on the recording layer of the optical disk D is reflected by the reflecting film and returned to the object lens 33. Then, the laser beam L1 is incident on the  $\lambda/4$  plate 32 once again, by which the direction of polarization is changed from circular polarization to linear polarization. The direction of this linear

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5           The laser beam L1 returned to the polarized beam  
splitter 31 is reflected by the polarized beam-  
splitting face 31a. Then, the laser beam L1 passes  
through a half-mirror beam splitter 34, the  
transmittance of which is about 20%, and further passes  
0   through a band-pass filter 211, which allows only the  
light components of a predetermined wavelength to pass  
therethrough. Subsequently, the laser beam L1 is  
focused by a focusing lens 35 and is then incident on  
the light receiving face (not shown) of a photodetector  
5   36. The band-pass filter 211 is designed to allow  
transmission of only a laser beam having a wavelength  
of 660 nm, i.e., the laser beam emitted from the first  
laser element 11.

On the other hand, the laser beam L2 emitted from the second semiconductor laser element 21 and having a wavelength of 630 nm is first collimated by a second collimator lens 22 and is then incident on an apodization plate 111. By this plate 111, a central portion of the collimated beam, as viewed in a cross section, is shielded at a predetermined rate. In other words, the cross sectional diameter of the collimated beam is reduced to a small value in comparison to that

of an ordinary laser beam. (The cross sectional diameter is defined as energy intensity.) After the diameter defined as energy intensity is reduced in this manner, the laser beam L2 is incident on the half-mirror beam splitter 34. About 80% of the laser beam L2 (the percentage being determined in terms of the optical intensity) is reflected by the half-mirror beam splitter 34 and guided to the polarized beam splitter 31. (It is desirable that at least three fourths of the laser beam be reflected by the half-mirror beam splitter 34.)

The laser beam L2 from the second semiconductor laser element 21 is reflected again by the polarized beam-splitting face 31a of the polarized beam splitter 31. (The laser beam L2 is reflected since its direction of polarization is  $90^\circ$  shifted from that of the laser beam L1 emitted from the first semiconductor laser element 11.) The reflected laser beam is incident on the  $\lambda/4$  plate 32, by which the direction of polarization is changed from linear polarization to circular polarization. Thereafter, the laser beam L2 is guided to the object lens 33.

By the object lens 33, the laser beam L2 from the second semiconductor laser element 21 is focused on the recording layer (not shown) of the optical disk D. (The recording layer is a pigment layer interposed between the transparent support member and the

reflecting film.)

5 The laser beam L2 emitted from the second semiconductor laser element 21 and focused on the recording layer of the optical disk D is reflected by the reflecting film and returned to the object lens 33. Then, the laser beam L1 is incident on the  $\lambda/4$  plate 32 once again, by which the direction of polarization is changed from circular polarization to linear polarization. The direction of linear polarization is 10  $90^\circ$  shifted from that of the laser beam L2 that is directed to the optical disk D. Thereafter, the laser beam L2 is returned to the polarized beam splitter 31. Of the laser beam L2 returned to the polarized splitter 31, some components may travel toward the half-mirror 15 beam splitter 34. Even if this happens, such components do not fall on the photodetector 36 due to the presence of the band-pass filter 36 described above. In this manner, outputs from the photodetector 36, i.e., the information recorded on the recording medium D, can 20 be reproduced without being affected by noise.

FIG. 11 illustrates a method in which the optical head apparatus shown in FIG. 1 controls two laser beams to form spots at the same position with high accuracy. The construction shown in FIG. 11 is similar to that 25 shown in FIG. 1. The first and second laser elements 11 and 21 are adapted to emit laser beam of 780 nm and 660 nm, respectively.

Referring to FIG. 11, consideration will be given of distance F1 and distance F2. Distance F1 is the distance between the front focal plane (not shown) of the object lens 33 and the recording layer (not shown) of the recording medium D (normally, distance F1 is equivalent to the focal distance f33 of the object lens 33). Distance F2 is the distance between the light emission point of the first laser element 11 and the front focal plane (not shown) of the first collimator lens 12 (normally, distance F2 is equivalent to the focal distance f12 of the collimator lens 12.)

Ratio F2/F1 will be discussed in relation to the characteristics of the optical head apparatus 1.

If the light emission point should be shifted from the optimal position due to vibration or shock when F2/F1 is set at 1, the position where a beam spot is formed will be shifted, accordingly.

If the light emission point should be shifted from the optimal position when F2/F1 is set at 5, the position where a beam spot is formed will be shifted, but the amount of this shift is 1/5.

The radiation angle at which an ordinary laser element emits a laser beam is about  $15^\circ$ . Assuming that the effective diameter of the collimator lens 12 is 5 mm, distance F2 is 20 mm, the efficiency with which light is utilized (i.e., the amount in which the emitted from the laser element is incident on the



collimator lens) is  $1/4$ . The power which the laser beam requires for recording on the recording surface is 15 mW or so. Since the output of the ordinary type of laser element is 80 W or thereabouts, the efficiency with which light is utilized should be not less than  $1/4$  in consideration of every optical loss which may be incurred. If the efficiency is small than  $1/4$ , information may not be recorded in the recording surface.

The focal length of the object lens 33 is about 2 mm. Accordingly, the upper limit of  $F2/F1$  is 10.

In view of the foregoing, it is desirable that the object lens 33 and collimator lens 12 (22) of the optical head apparatus 1 be arranged in such a manner that the ratio  $F2/F1$  is greatest but does not exceed 10. In accordance with an increase in  $F2/F2$ , however, the distance between the light emission point of the laser element and the collimator lens lengthens, adversely affecting the efficiency with which light is utilized.

If a large polarized beam splitter 31, a large object lens 33, a large collimator lens 12 (2) and a high-output laser element are employed, a decrease in the light utilization efficiency can be prevented, with a constant ratio  $F2/F1$  maintained. In this case, however, the optical head apparatus is inevitably large in size.

Apart from the above, the two laser elements are positioned and fixed as follows. First, one of the two

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laser elements is fixed at the predetermined position. Then, the other laser element is positioned in such a manner that the beam spot formed by the laser beam emitted therefrom overlaps with the beam spot of the laser beam emitted from the fixed laser element. When the two beam spots overlap with each other, the second laser element is fixed by use of an adhesive. During this fixing operation, however, it is very likely that the laser element will be shifted from the intended position by 2  $\mu\text{m}$  or so. Since the beam spot diameter of the laser beam utilized in the optical head apparatus of the present invention is about 1  $\mu\text{m}$ , the beam spot must be formed as accurately as possible. In order for the beam spot shift on the recording face to be within the range of 0.5  $\mu\text{m}$  even where the laser element is 2  $\mu\text{m}$  shifted from the intended position in the manufacture process, it is required that the value of  $F2/F1$  be larger than 4. Accordingly,  $F2/F1$  should be within the range of 4 to 10. It is desirable that the two laser elements be integrally fixed to one and the same housing, as shown in FIG. 12.

FIG. 12 is a schematic illustration of an example of an optical head apparatus that employs a laser unit in which two laser chips are integrally incorporated. In FIG. 12, those structural elements similar to those shown in FIG. 1 are denoted by the same reference numerals, and a detailed description of such structural

elements will be omitted.

As shown in FIG. 12, an optical head apparatus 401 comprises: a semiconductor laser unit 403 in which two laser chips (elements) capable of emitting laser beams L1 and L2 polarized in the same direction are integrally incorporated; an optical path-changing member (plane-parallel plate glass) 405 for guiding the two laser beams of the laser unit 403 to substantially the same optical path; a collimator lens 407 for collimating the two laser beams L1 and L2 so that the laser beams can travel along substantially the same optical path; a polarized beam splitter 409 for allowing the collimated laser beams L1 and L2 output from the collimator lens 407 to pass therethrough and travel to a recording medium D; a  $\lambda/4$  plate (retarder) 32 for isolating the laser beam output from the polarized beam splitter 409 and traveling to the recording medium D from the laser beam reflected by the recording medium; an object lens 33 for focusing the laser beam, the direction of polarization has been changed by the retarder 32, on the recording layer of the recording medium D; and a focusing lens 411 for the laser beam reflected by the recording surface of the recording medium D on a photodetector 36. The laser beam reflected by the recording surface of the recording medium D is captured by the object lens 33 and is then incident on the retarder 32. By this

retarder 32, the direction of polarization is  $90^\circ$  shifted from that of the laser beam L2 that is directed to the optical disk D. Subsequently, the laser beam is reflected by the polarized beam-splitting face 31a of the polarized beam splitter 31, and this reflected laser beam is incident on the focusing lens 411.

The focusing lens 411 is made from a material which is passed allows only the laser beam reflected by the recording medium D and representing the information recorded in the recording medium D, if the laser beams emitted from the two laser chips are different in wavelength.

A band-pass filter, such as that shown in FIG. 10, may be arranged between the focusing lens 411 and the polarized beam splitter 409, so as to allow transmission of only the laser beam emitted from one of the laser chips. If such a band-pass filter is employed, the focusing lens 411 need not have a filtering function.

Let us assume that one of the laser chips of the laser unit 403 emits a laser beam L1 of 780 nm and the other laser chip emits a laser beam L2 of 660 nm, as in the embodiment described above. In this case, the two laser beams L1 and L2 emitted from the laser unit 403 are guided by the plane-parallel plate glass 405 to substantially the same optical path. After being collimated by the collimator lens 407, the laser beams

L1 and L2 pass through the polarized beam-splitting face 409a of the polarized beam splitter 409. Then, the laser beams L1 and L2 are incident on the  $\lambda/4$  plate (retarder) 32, by which the direction of polarization is changed from linear polarization to circular polarization. Thereafter, the laser beams L1 and L2 are guided to the object lens 33.

By the object lens 33, the laser beams L1 and L2 are focused on the recording layer (not shown) of the optical disk D, i.e., an optical information medium. (The recording layer is a pigment layer interposed between the transparent support member and the reflecting film.)

The laser beams L1 and L2 focused on the recording layer of the optical disk D and reflected by the reflecting film are returned to the object lens 33. Then, the laser beams L1 and L2 are incident on the  $\lambda/4$  plate 32 once again, by which the direction of polarization is changed from circular polarization to linear polarization. The direction of linear polarization is  $90^\circ$  shifted from that of the laser beams that are directed to the optical disk D. Thereafter, the laser beams L1 and L2 are returned to the polarized beam splitter 409.

The laser beams L1 and L2 returned to the polarized beam splitter 409 are reflected by the polarized beam-splitting face 409a. Only one of the

two laser beams is allowed to pass through the focusing lens 411, and incident on the light-receiving surface of the photodetector 36.

In the optical head apparatus shown in FIG. 13,  
5 power control is performed, for example, as follows. The power of one of the two laser beams is used for reproduction (which power is less intensive), and the power of the other laser beam is used for recording (which power is intensive). The power of the laser  
10 beam for recording is modulated in intensity in accordance with recording signals, i.e., information to be recorded. By executing this power control, the recording time is short, and yet information can be recorded in a reliable manner. In addition,  
15 information can be reproduced from the recording medium by using the laser beam from at least one laser chip.

As described above, according to the recording method of the present invention, information can be recorded in a write-once recordable optical information  
20 medium by causing a large amount of energy to be absorbed in the recording layer of the medium, without any deterioration in the characteristics of reproduced signals. Accordingly, the recording speed can be as short as possible.

25 The emission wavelength of the laser element that emits a recording laser beam can be set at the wavelength that provides a greatest energy absorption

coefficient with respect to the recording layer of a recording medium. Even if the wavelength of the recording laser beam fluctuates, the variation of the recording sensitivity is suppressed. Since recorded  
5 signals are free from fluctuations, stable information recording is thus enabled.

In regard to distance F1 between the front focal plane of the object lens 33 and the recording layer of the recording medium D and distance F2 between the  
10 light emission point of the first laser element and the front focal plane of the first collimator lens 12, the present invention has provided optimal relationships between the two distances F1 and F2. With the ratio F2/F1 being set at an optimal value, the optical head  
15 apparatus can operate without being adversely affected by vibration or shock. In addition, a beam spot is prevented from being shifted from the intended position even if the two laser elements (chips) are not fixed accurately at the right positions during assembly.  
20 Accordingly, stable recording/reproduction of information is enabled.

Additional advantages and modifications will readily occur to those skilled in the art. Therefore, the invention in its broader aspects is not limited to  
25 the specific details and representative embodiments shown and described herein. Accordingly, various modifications may be made without departing from the

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spirit or scope of the general inventive concept as defined by the appended claims and their equivalents.

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CLAIMS

1. An optical information recording/reproducing apparatus comprising a plurality of light sources, one of which emits an optical beam having such a wavelength  
5 as enables a larger amount of energy to be absorbed or reflected by recorded areas of a recording layer of an optical information medium than an amount of energy absorbed or reflected by non-recorded areas, said plurality of light sources emitting optical beams  
10 simultaneously to record information in an information recording mode.

2. An optical information recording/reproducing apparatus according to claim 1, wherein said plurality of light sources include a first light source and a  
15 second light source, at least one of which emits a light beam having a wavelength that enables a change rate of an absorption coefficient of unrecorded areas of the optical information medium to be within a range of  $\pm 5\%$  when the wavelength changes in a range of  
20  $\pm 10\%$ .

3. An optical information recording/reproducing apparatus according to claim 1, wherein said plurality of light sources includes two light sources that are integrally provided for a single casing.

25 4. An optical information recording/reproducing apparatus according to claim 1, further comprising:  
a plane-parallel plate arranged at a predetermined

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angle and located at a position to which optical beams emitted from said plurality of light sources are directed.

5 5. An information recording/reproducing apparatus comprising:

a plurality of light sources; and

an optical system for enabling optical beams from the light sources to be focused on a single point on a recording surface of an optical information medium,

10 said optical system including an object lens having a focal distance of  $F_1$  and a collimator lens having a focal distance of  $F_2$ , ratio  $F_2/F_1$  being within a range of 4 to 10.

15 6. An information recording/reproducing apparatus according to claim 5, wherein said plurality of light sources are contained in one case.

7. An information recording/reproducing apparatus comprising:

20 a first light source for emitting an optical beam of a first wavelength;

a second light source for emitting an optical beam of a second wavelength different from the first wavelength;

25 an optical system for guiding the optical beams from the first and second light sources along substantially one optical path, said optical system including a prism unit for synthesizing the optical

beams from the first and second light sources together;

a detector for performing photoelectric conversion with respect to optical beams that are reflected by an optical information medium and guided to the detector

5 by way of the object lens; and

a beam diameter varying device, arranged between the first and second light sources and the prism unit, for varying a beam spot diameter of an optical beam emitted from one of the first and second light sources.

10 8. An information recording/reproducing apparatus according to claim 7, wherein said beam diameter varying device is a cylindrical member which changes inner and outer diameters of a light beam incident thereon.

15 9. An information recording/reproducing apparatus according to claim 7, wherein said beam diameter varying device has a light-shielding structure for shielding a central portion of a light beam.

20 10. An information recording/reproducing apparatus according to claim 7, wherein said optical system further includes at least one of a collimator lens.

25 11. An information recording/reproducing apparatus according to claim 10, wherein said beam diameter varying device has a light-shielding structure for shielding a central portion of a light beam output from one of the collimator lens.

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ABSTRACT OF THE DISCLOSURE

An optical head apparatus is provided with a first laser element, a second laser element and a polarized beam splitter. The first laser element emits a laser beam having a first wavelength. The second laser element emits a laser beam having a second wavelength. The second wavelength may be equivalent to the first wavelength, alternatively, it may be different from the first wavelength. The polarized beam splitter enables the laser beams of the first and second laser elements to be simultaneously radiated to the recording layer of an optical disk. When information are recorded in the optical disk, the laser beams of the first and second laser elements are used at the same time. At least one of the laser elements emits a laser beam having such a wavelength as enables the recording layer of the optical disk to absorb the largest possible amount of energy.

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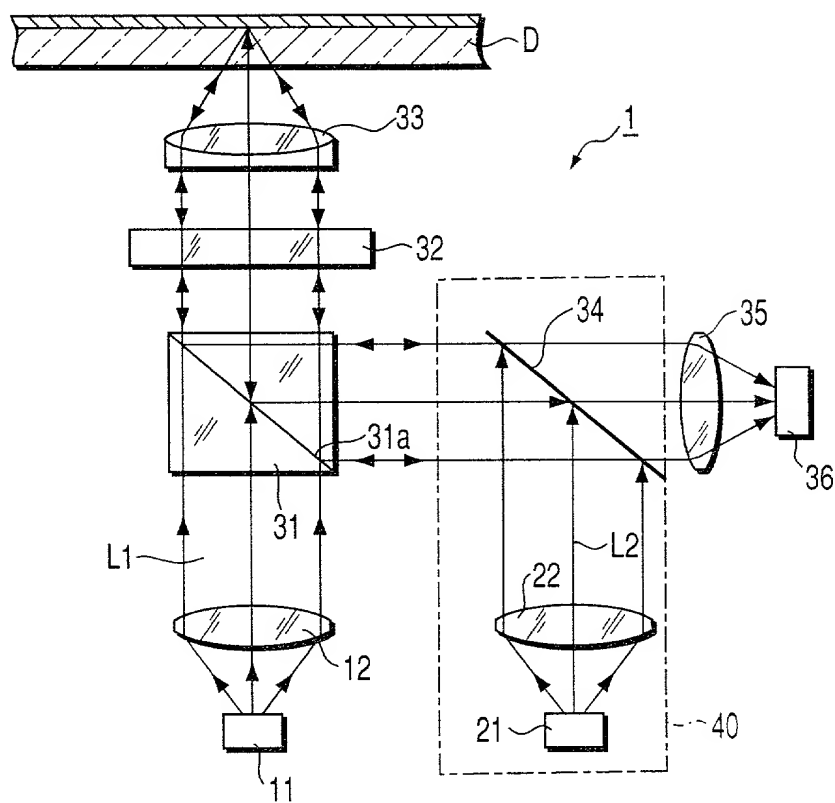


FIG. 1

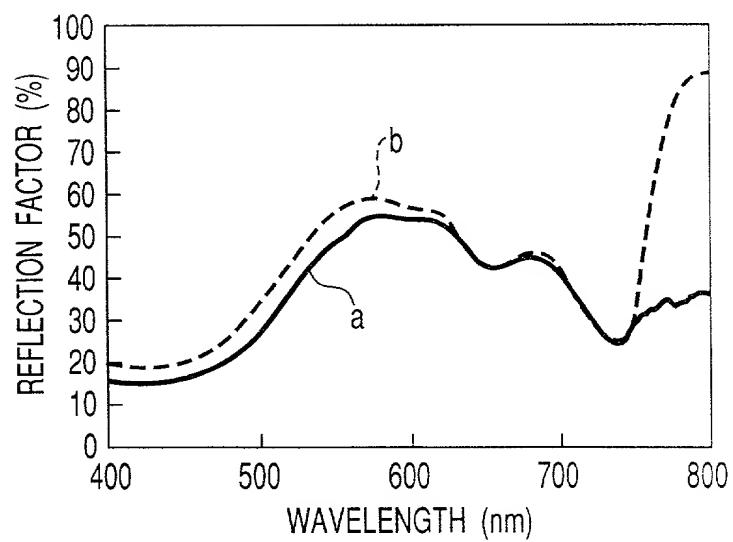


FIG. 2

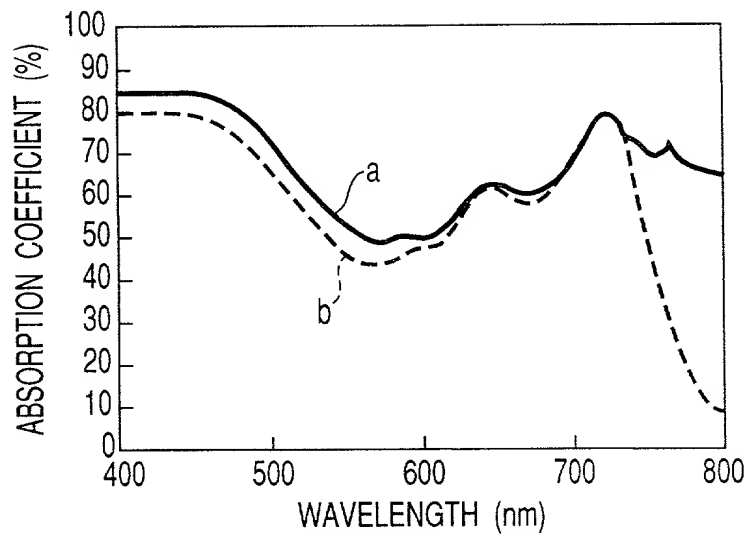


FIG. 3

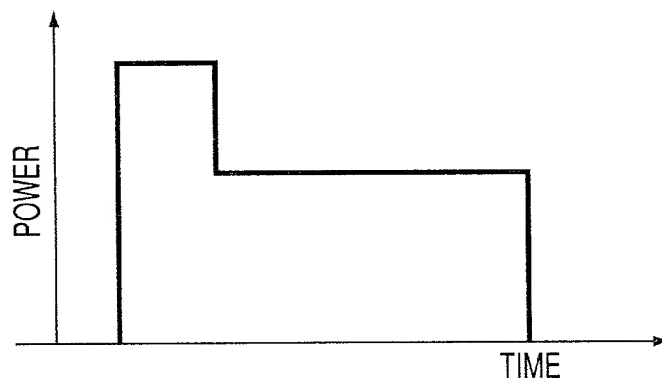


FIG. 4A

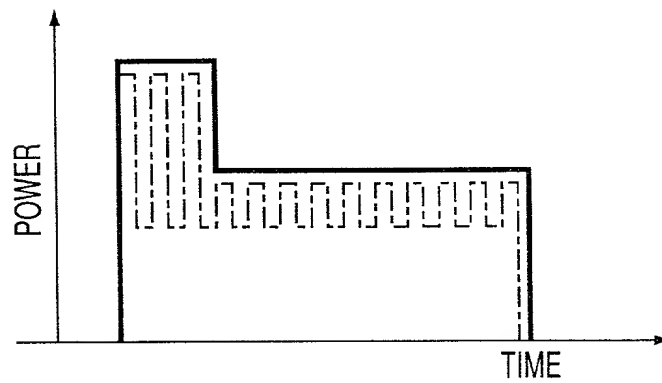
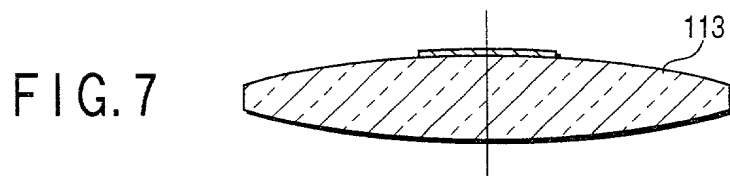
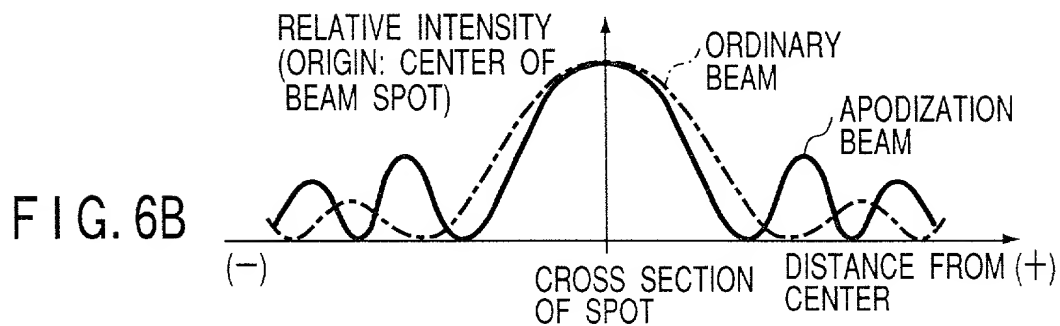
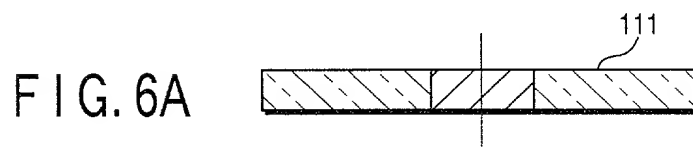
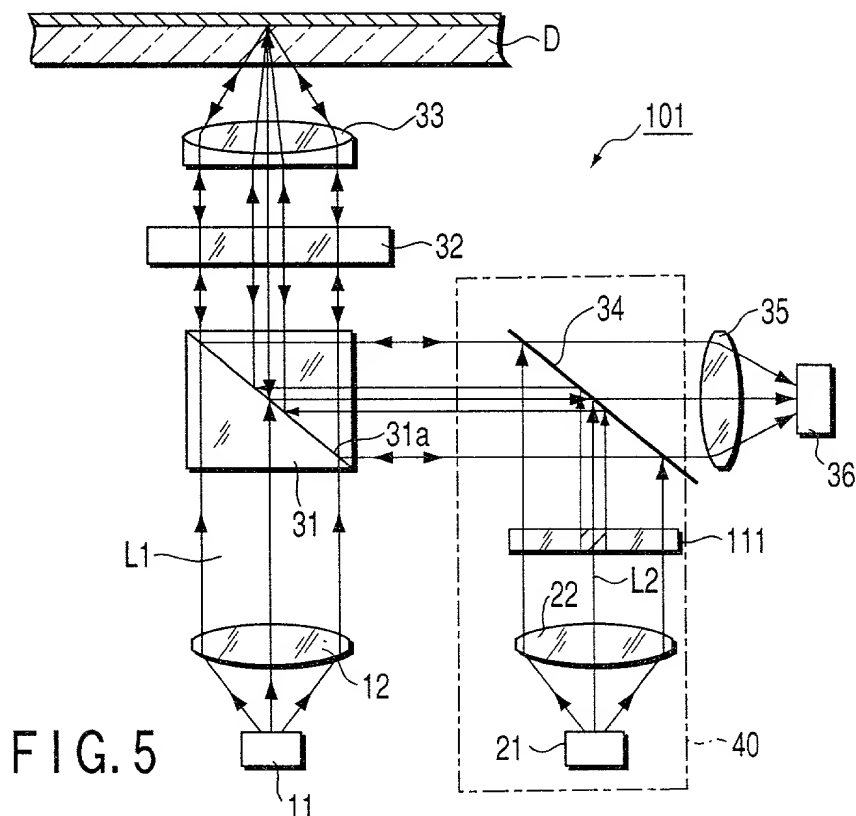


FIG. 4B



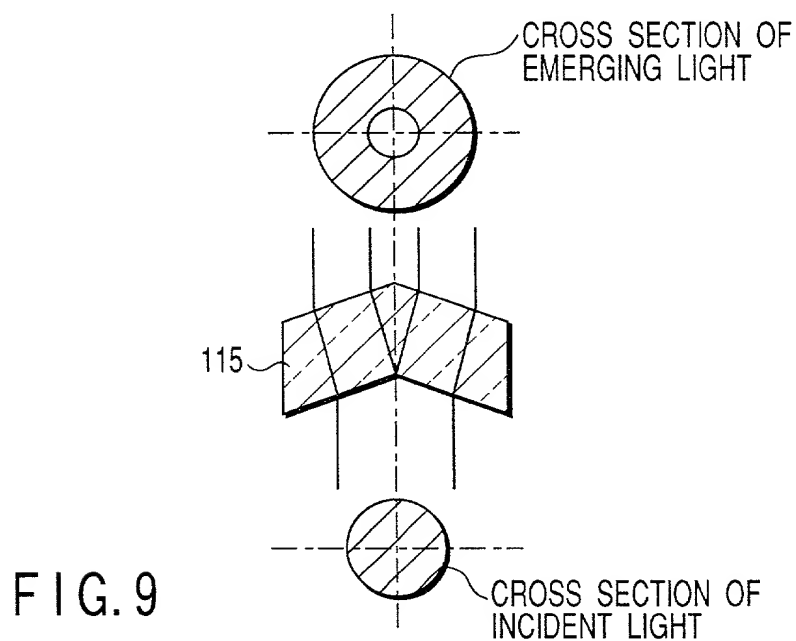
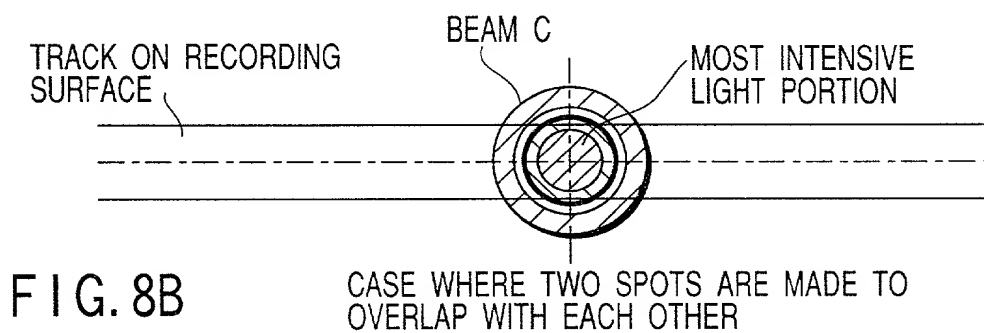
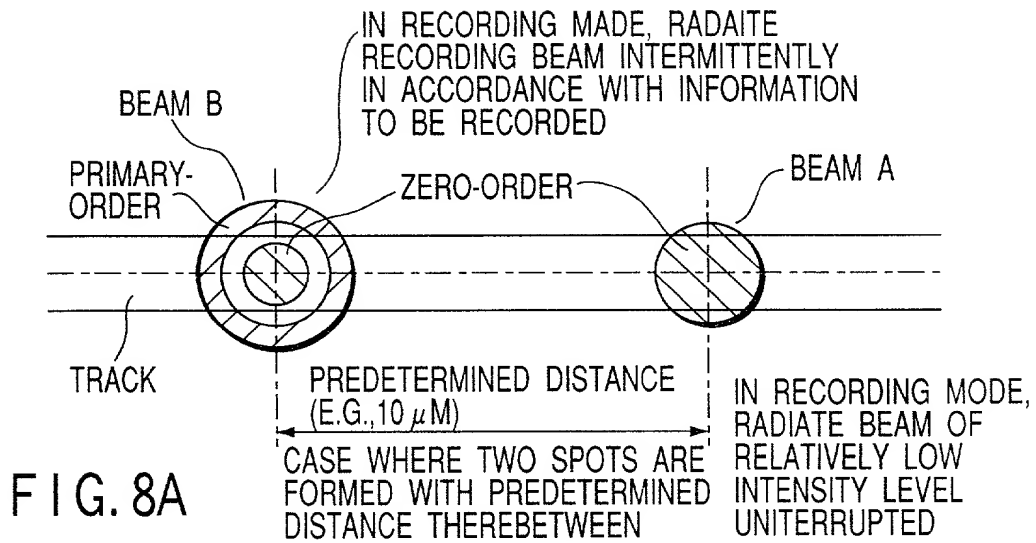




FIG. 10

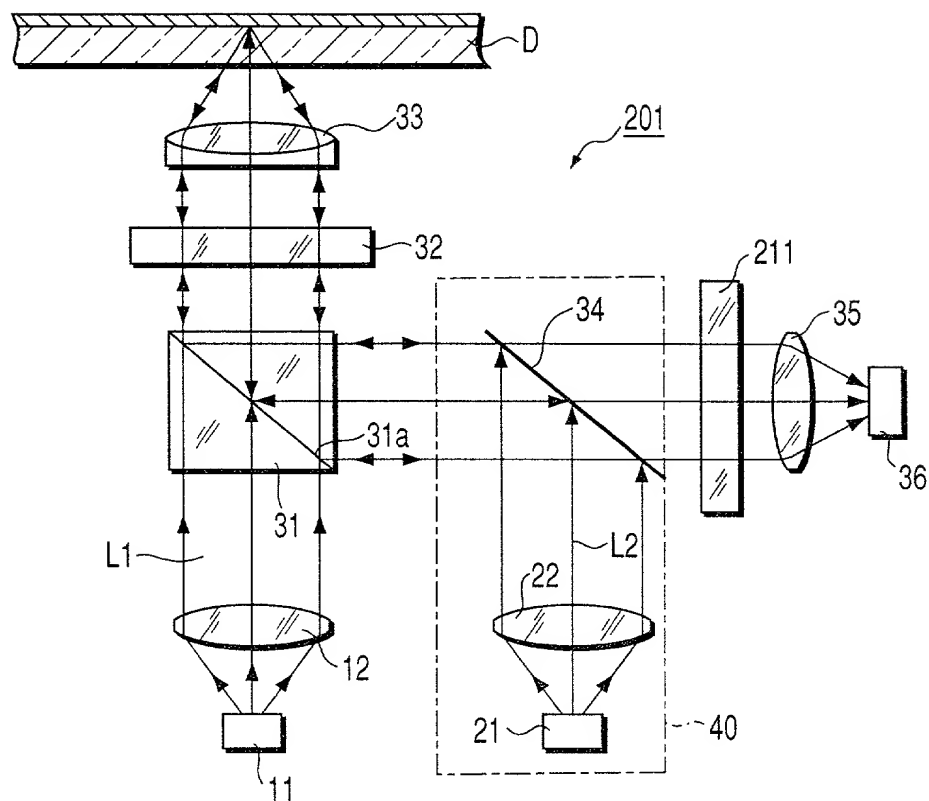
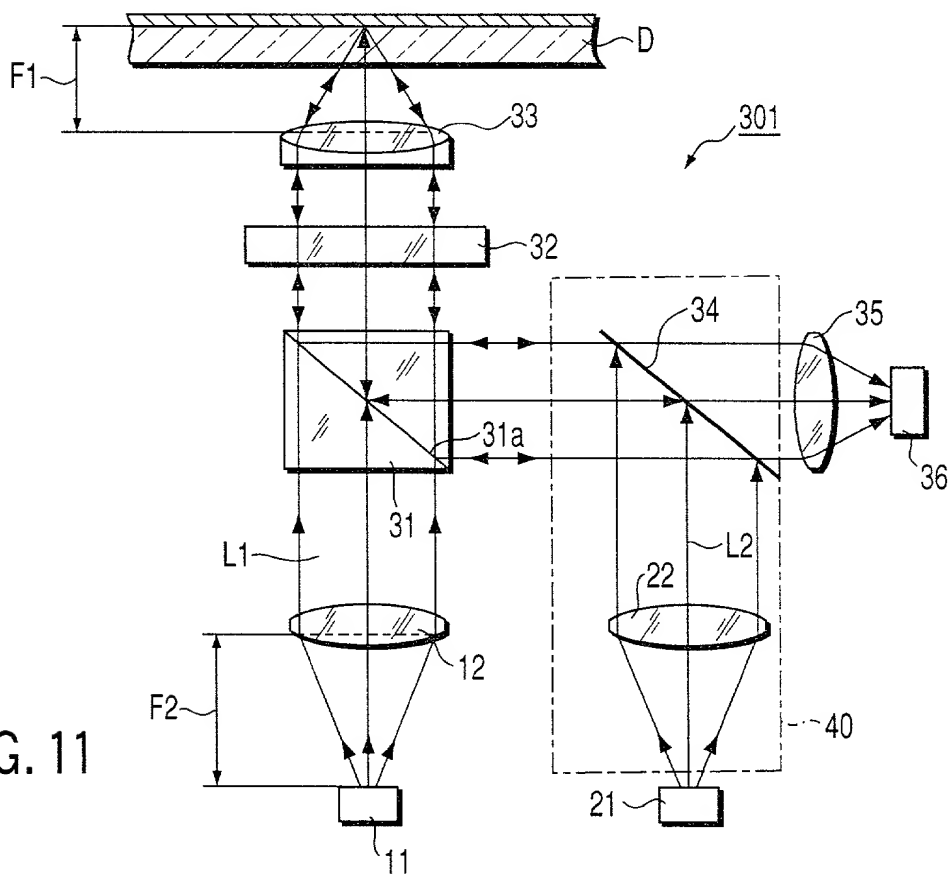


FIG. 11



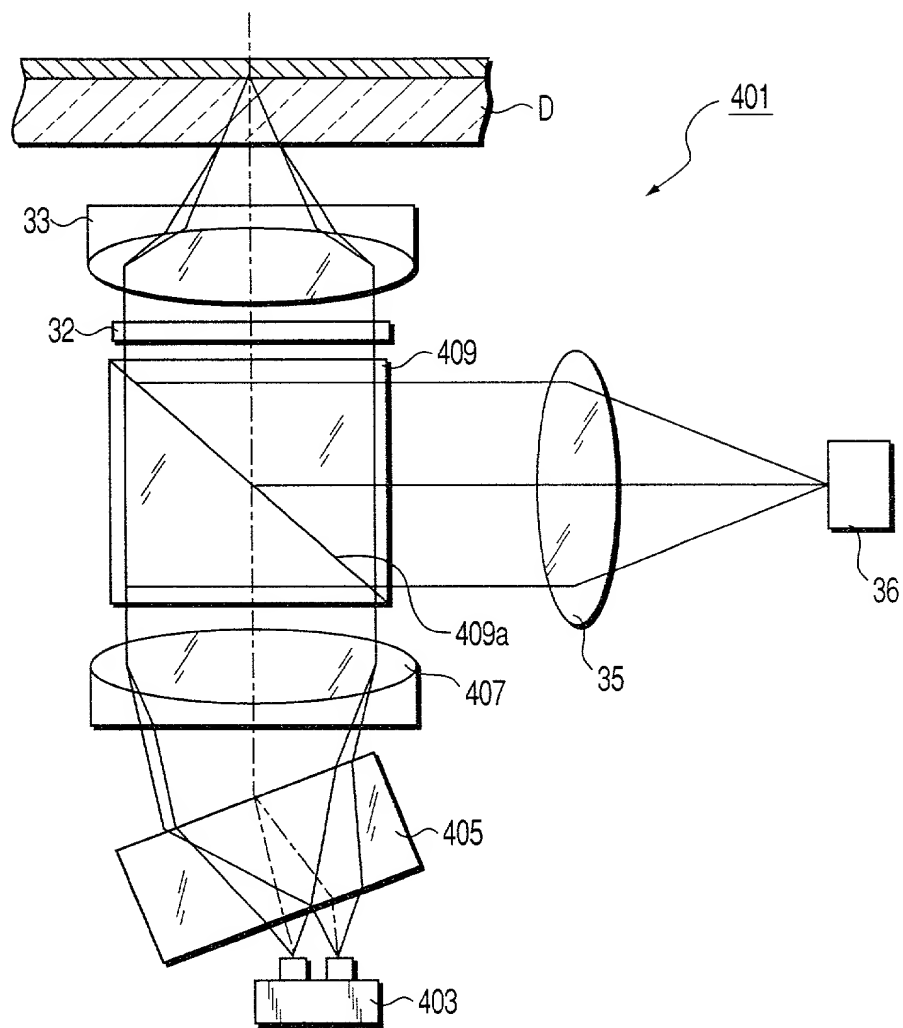


FIG. 12

## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I declare:

that I verily believe myself to be the original, first and sole (if only one individual inventor is listed below) or an original, first and joint inventor (if more than one individual inventor is listed below) of the invention in

METHOD FOR RECORDING INFORMATION IN OPTICAL INFORMATION  
MEDIUM AND REPRODUCING INFORMATION THEREFROM

the specification of which is attached hereto unless the following box is checked.

☐ was filed on \_\_\_\_\_ as United States Application  
or PCT International Application No. \_\_\_\_\_, and  
was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information of which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365 (b) of any foreign application(s) for patent or inventor's certificate, or 35 U.S.C. 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

<u>Country</u>	<u>Category</u>	<u>Application No.</u>	<u>Filing Date</u>	<u>Priority Claim</u>
Japan	Patent	10-293712	October 15, 1998	Yes
Japan	Patent	11-257706	September 10, 1999	Yes

And I hereby appoint Paul N. Kokulis (Reg. No. 16,773), Raymond F. Lippitt (Reg. No. 17,519), G. Lloyd Knight (Reg. No. 17,698), Carl G. Love (Reg. No. 18,781), Edgar H. Martin (Reg. No. 20,534), William K. West, Jr. (Reg. No. 22,057), Kevin E. Joyce (Reg. No. 20,508), George M. Sirilla (Reg. No. 22,429), David W. Brinkman (Reg. No. 20,817), Donald J. Bird (Reg. No. 25,323), Peter W. Gowdey (Reg. No. 25,872), Dale S. Lazar (Reg. No. 28,872), Paul E. White, Jr. (Reg. No. 32,011), Glenn J. Perry (Reg. No. 28,458), Kendrew H. Colton (Reg. No. 30,368), Michelle N. Lester (Reg. No. 32,331), G. Paul Edgell (Reg. No. 24,238), Lynn E. Eccleston (Reg. No. 35,861), Timothy J. Klima (Reg. No. 34,852), David A. Jakopin (Reg. No. 32,995), Mark G. Paulson (Reg. No. 30,793), Stephen C. Glazier (Reg. No. 31,361), Paul F. McQuade (Reg. No. 31,542), Ruth N. Morduch (Reg. No. 31,044), Richard H. Zaitlen (Reg. No. 27,248), Roger R. Wise (Reg. No. 31,204), Jay M. Finkelstein (Reg. No. 21,082) and Anita M. Kirkpatrick (Reg. No. 32,617), each of whose address is 1100 New York Avenue, N.W., Ninth Floor, East Tower, Washington, D.C. 20005-3918, or any one of them, my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent & Trademark Office connected therewith, and request that correspondence be directed to The Cushman Darby & Cushman Intellectual Property Group of Pillsbury Madison & Sutro, LLP, 1100 New York Avenue, N.W., Ninth Floor, East Tower, Washington, D.C. 20005-3918.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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## DECLARATION FOR PATENT APPLICATION

I declare further that my post office address is at c/o  
Intellectual Property Division, KABUSHIKI KAISHA TOSHIBA, 1-1 Shibaura  
1-chome, Minato-ku, Tokyo 105-8001, Japan; and  
that my citizenship and residence are as stated below next to my name:

Inventor: (Signature)	Date	Residence
<u>Hiroiyuki Higashino</u> Hiroiyuki Higashino	<u>Date: Sep. 13, 1999</u>	<u>Citizen of: Japan</u> <u>Kawasaki-shi, Japan</u>
	<u>Date:</u>	<u>Citizen of: Japan</u>
	<u>Date:</u>	<u>Citizen of: Japan</u>
	<u>Date:</u>	<u>Citizen of: Japan</u>
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	<u>Date:</u>	<u>Citizen of: Japan</u>

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